

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number KLYCD-05008US1	Application Number 10/684,668		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>		First Named Inventor Steve Mitchell					
		Filing Date October 14, 2003	Art Unit 3738				
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	1.	2,456,806	Dec 21, 1948	E. B. Wolfe			
	2.	4,369,769	Jan 25, 1983	Edwards			
	3.	4,401,112	Aug 30, 1983	Rezaian			
	4.	4,479,491	Oct 30, 1984	Martin			
	5.	4,501,269	Feb 26, 1984	Bagby			
	6.	4,553,273	Nov 19, 1984	Wu			
	7.	4,554,914	Nov 26, 1985	Kapp et al			
	8.	4,599,084	Jul 8, 1986	Nashef			
	9.	4,599,086	Jul 8, 1986	Doty			
	10.	4,636,217	Jan 13, 1987	Ogilvie			
	11.	4,657,550	Apr 14, 1987	Daher			
	12.	4,685,447	Aug 11, 1987	Iversen et al			
	13.	4,696,290	Sep 29, 1987	Steffee			
	14.	4,714,469	Dec 22, 1987	Kenna			
	15.	4,743,256	May 10, 1988	Brantigan			
	16.	4,790,303	Dec 13, 1988	Steffee			
	17.	4,834,757	May 30, 1989	Brantigan			
	18.	4,904,261	Feb 27, 1990	Dove et al.			
	19.	4,932,975	Jun 12, 1990	Main et al.			
	20.	4,936,848	Jun 26, 1990	Bagby			
	21.	4,961,740	Oct 9, 1990	Ray et al.			

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	23.	5,015,247	May 14, 1991	Michelson			
	24.	5,026,373	Jun 25, 1991	Ray et al.			
	25.	5,055,104	Oct 8, 1991	Ray			
	26.	5,059,193	Oct 22, 1991	Kuslich			
	27.	5,059,194	Oct 22, 1991	Michelson			
	28.	5,108,442	Apr 28, 1992	Smith			
	29.	5,167,662	Dec 1, 1992	Hayes et al.			
	30.	5,180,381	Jan 19, 1993	Aust et al.			
	31.	5,192,327	Mar 9, 1993	Brantigan			
	32.	5,263,953	Nov 23, 1993	Bagby			
	33.	5,290,312	Mar 1, 1994	Kojimoto et al.			
	34.	5,313,962	May 24, 1994	Obenchain			
	35.	5,314,478	May 24, 1994	Oka et al.			
	36.	5,336,223	Aug 9, 1994	Rogers			
	37.	5,350,397	Sep 27, 1994	Palermo et al.			
	38.	5,354,302	Oct 11, 1994	Ko			
	39.	5,360,430	Nov 1, 1994	Lin			
	40.	5,366,508	Nov 22, 1994	Brekke			
	41.	5,370,693	Dec 6, 1994	Kelman et al.			
	42.	5,375,823	Dec 27, 1994	Navas			
	43.	5,383,884	Jan 24, 1995	Summers			
	44.	5,395,317	Mar 7, 1995	Kambin			
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	48.	5,423,816	Jun 13, 1993	Lin			
	49.	5,423,817	Jun 13, 1995	Lin			
	50.	5,425,772	Jun 20, 1995	Brantigan			
	51.	5,425,777	Jun 20, 1995	Sarkisian et al.			
	52.	5,431,658	Jul 11, 1995	Moskovich			
	53.	5,439,464	Aug 8, 1995	Shapiro			
	54.	5,443,514	Aug 22, 1995	Steffee			
	55.	5,443,515	Aug 22, 1995	Cohen et al.			
	56.	5,445,639	Aug 29, 1995	Kuslich et al.			
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	60.	5,480,401	Jan 2, 1996	Navas			
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	62.	5,484,437	Jan 16, 1996	Michelson			
	63.	5,489,307	Feb 6, 1996	Kuslich et al.			
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	65.	5,505,732	Apr 9, 1996	Michelson			
	66.	5,522,899	Jun 4, 1996	Michelson			
	67.	5,531,793	Jul 2, 1996	Kelman et al.			
	68.	5,534,023	Jul 9, 1996	Henley			
	69.	5,534,031	Jul 9, 1996	Matsuzaki et al.			
	70.	5,540,689	Jul 30, 1996	Sanders et al.			
	71.	5,571,109	Nov 5, 1996	Bertagnoli			

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	74.	5,571,192	Nov 5, 1996	Schönhöffer			
	75.	5,591,235	Jan 7, 1997	Kuslich			
	76.	5,593,409	Jan 14, 1997	Michelson			
	77.	5,599,279	Feb 4, 1997	Slotman et al.			
	78.	5,601,556	Feb 11, 1997	Pisharodi			
	79.	5,180,381	Jan 19, 1993	Aust et al.			
	80.	5,609,635	Mar 11, 1997	Michelson			
	81.	5,609,636	Mar 11, 1997	Kohrs et al.			
	82.	5,620,458	Apr 15, 1997	Green et al.			
	83.	5,645,592	Jul 8, 1997	Nicolais et al.			
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	85.	5,653,761	Aug 5, 1997	Pisharodi			
	86.	5,653,762	Aug 5, 1997	Pisharodi			
	87.	5,658,335	Aug 19, 1997	Allen			
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	89.	5,658,337	Aug 19, 1997	Kohrs et al.			
	90.	5,669,909	Sep 23, 1997	Zdeblick et al.			
	91.	5,683,463	Nov 4, 1997	Godefroy et al.			
	92.	5,683,464	Nov 4, 1997	Wagner et al.			
	93.	5,693,100	Dec 2, 1997	Pisharodi			
	94.	5,697,889	Dec 16, 1997	Slotman et al.			
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	99.	5,716,415	Feb 10, 1998	Steffee			
	100.	5,741,253	Apr 21, 1998	Michelson			
	101.	5,755,732	May 26, 1998	Green et al.			
	102.	5,766,252	Jun 16, 1998	Henry et al.			
	103.	5,772,661	Jun 30, 1998	Michelson			
	104.	5,776,196	Jul 7, 1998	Matsuzaki et al.			
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	106.	5,782,830	Jul 21, 1998	Farris			
	107.	5,782,919	Jul 21, 1998	Zdeblick et al.			
	108.	5,797,909	Aug 25, 1998	Michelson			
	109.	5,800,438	Sep 1, 1998	Tuke et al.			
	110.	5,800,550	Sep 1, 1998	Sertich			
	111.	5,836,948	Nov 17, 1998	Zucherman et al.			
	112.	5,860,973	Jan 19, 1999	Michelson			
	113.	5,860,977	Jan 19, 1999	Zucherman et al.			
	114.	5,876,404	Mar 2, 1999	Zucherman et al.			
	115.	5,885,292	Mar 23, 1999	Moskovitz et al.			
	116.	5,885,299	Mar 23, 1999	Winslow et al.			
	117.	5,888,222	Mar 30, 1999	Coates et al.			
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	119.	5,891,147	Apr 6, 1999	Moskovitz et al.			
	120.	5,893,890	Apr 13, 1999	Pisharodi			
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	123.	5,906,616	May 25, 1999	Pavlov et al.			
	124.	5,944,754	Aug 31, 1999	Vacanti			
	125.	5,945,115	Aug 31, 1999	Dunn et al.			
	126.	5,961,554	Oct 5, 1999	Jamson et al.			
	127.	5,980,572	Nov 9, 1999	Kim et al.			
	128.	5,984,967	Nov 16, 1999	Zdeblick et al.			
	129.	5,989,291	Nov 23, 1999	Ralph et al.			
	130.	6,004,573	Dec 21, 1999	Rathi et al.			
	131.	6,005,162	Dec 21, 1999	Constantz			
	132.	6,019,792	Feb 1, 2000	Cauthen			
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	134.	6,039,761	Mar 21, 2000	Li et al.			
	135.	6,042,582	Mar 28, 2000	Ray			
	136.	6,045,579	Apr 4, 2000	Hochshuler et al.			
	137.	6,045,580	Apr 4, 2000	Scarborough et al.			
	138.	6,051,648	Apr 18, 2000	Rhee et al.			
	139.	6,074,390	Jun 13, 2000	Zucherman et al			
	140.	6,080,155	Jun 27, 2000	Michelson			
	141.	6,080,158	Jun 27, 2000	Lin			
	142.	6,080,193	Jun 27, 2000	Hochshuler et al.			
	143.	6,086,613	Jul 11, 2000	Camino et al.			
	144.	6,090,112	Jul 18, 2000	Zucherman et al.			
<i>SP</i>	145.	6,096,038	Aug 1, 2000	Michelson			
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	148.	6,102,950	Aug 15, 2000	Vaccaro			
	149.	6,111,164	Aug 29, 2000	Rainey et al.			
	150.	6,113,638	Sep 5, 2000	Williams et al.			
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	152.	6,120,502	Sep 19, 2000	Michelson			
	153.	6,120,503	Sep 19, 2000	Michelson			
	154.	6,123,705	Sep 26, 2000	Michelson			
	155.	6,126,689	Oct 3, 2000	Brett			
	156.	6,127,597	Oct 3, 2000	Beyar et al.			
	157.	6,129,763	Oct 10, 2000	Chauvin et al.			
	158.	6,132,430	Oct 17, 2000	Wagner			
	159.	6,136,001	Oct 24, 2000	Michelson			
	160.	6,149,650	Nov 21, 2000	Michelson			
	161.	6,149,652	Nov 21, 2000	Zucherman et al.			
	162.	6,149,686	Nov 21, 2000	Kuslich et al.			
	163.	6,152,926	Nov 28, 2000	Zucherman et al.			
	164.	6,156,038	Dec 5, 2000	Zucherman et al.			
	165.	6,159,215	Dec 12, 2000	Urbahns et al.			
	166.	6,176,882 B1	Jan 23, 2001	Biedermann et al.			
	167.	6,179,874 B1	Jan 30, 2001	Cauthen			
	168.	6,183,471 B1	Feb 6, 2001	Zucherman et al.			
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	170.	6,193,757 B1	Feb 27, 2001	Foley et al.			
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	173.	6,224,595 B1	May 1, 2001	Michelson			
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	175.	6,228,118 B1	May 8, 2001	Gordon			
	176.	6,231,609 B1	May 15, 2001	Mehdizadeh			
	177.	6,234,705	May 22, 2001	Troxell			
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	179.	6,238,397 B1	May 29, 2001	Zucherman et al.			
	180.	6,241,769 B1	Jun 5, 2001	Nicholson et al.			
	181.	6,241,770 B1	Jun 5, 2001	Michelson			
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	183.	6,245,072 B1	Jun 12, 2001	Zdeblick et al.			
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	185.	6,261,296	Jul 17, 2001	Aebi et al.			
	186.	6,264,655 B1	Jul 24, 2001	Pisharodi			
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	193.	6,287,343 B1	Sep 11, 2001	Kuslich et al.			
	194.	6,296,664 B1	Oct 2, 2001	Middleton			
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	198.	6,311,562 B1	Nov 6, 2001	Hanada			
	199.	6,315,795 B1	Nov 13, 2001	Scarborough et al.			
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	201.	6,325,827 B1	Dec 4, 2001	Lin			
	202.	6,332,882 B1	Dec 25, 2001	Zucherman et al.			
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	204.	6,342,074 B1	Jan 29, 2002	Simpson			
	205.	6,348,071 B1	Feb 19, 2001	Steffee et al.			
	206.	6,350,283 B1	Feb 26, 2001	Michelson			
	207.	6,364,880 B1	Apr 2, 2002	Michelson			
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	214.	6,383,221 B1	May 7, 2002	Scarborough et al.			
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	239.	6,447,512 B1	Sep 10, 2002	Landry et al.			
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Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge?
					Yes No

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<i>BS</i>	314.	FR2806614 A1	Sep 28, 2001	France			X
<i>BS</i>	315.	WO 00/04851	Feb 3, 2000	PCT			X
<i>BS</i>	316.	WO 00/13619	Mar 16, 2000	PCT			X
<i>BS</i>	317.	WO 00/13620	Mar 16, 2000	PCT			X
<i>BS</i>	318.	WO 90/00037	Jan 11, 1990	PCT			X
<i>BS</i>	319.	WO 95/31158 A	Nov 23, 1995	PCT			X
<i>BS</i>	320.	WO 99/26562	Jun 3, 1999	PCT			X
<i>BS</i>	321.	WO 99/59669	Nov 25, 1999	PCT			X
<i>BS</i>	322.	WO 00/23015 A1 (considered)	Apr 27, 2000	PCT	<i>WAVES</i>		X

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)		
<i>BS</i>	323.	Ceramic Interspinous Block (CISB) Assisted Anterior Interbody Fusion, Haruo Tsuji, Norikazu Hirano, Yoshiharu Katoh, Hiroshi Ohsima, Hirokazu Ishihara, Hisao Matsui, and Yohihiko Hayashi, <i>Journal of Spinal Disorders</i> Vol. 3, No.1, pp 77-86, c1990 Raven Press, Ltd., New York
<i>BS</i>	324.	Instrumentation and Implants for Spinal Surgery, J. Dabb, <i>Diary of the XVIIIth Scientific Meeting of the PTO Tr/Pamietnik XVIII Zjazdu Naukowego PTO Tr/PZ, WL, Warszawa, Link America Inc.</i> , 1971, 665
<i>BS</i>	325.	Spinal Stenosis and Neurogenic Claudication, Richard W. Porter, MD, FRCS, FRCSE, <i>SPINE</i> Vol. 21, Number 17, pp 2046-2052, c1996, Lippincott-Raven Publishers
<i>BS</i>	326.	Preliminary Design and Experimental Studies of a Novel Soft Implant for Correcting Sagittal Plan Instability in the Lumbar Spine, R.J. Minns, BEng, Msc, PhD, DscTech, and W.K. Walsh, FRCS, <i>SPINE</i> Vol. 22, Number 16, pp 1819-1827, c1997, Lippincott-Raven Publishers

Examiner <i>BS</i>	Date Considered <i>5/19/05</i>
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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